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Attorney Docket: 00447CD/HG

**THE UNITED STATES PATENT  
AND TRADEMARK OFFICE**

Applicant(s): Masahiro KAWAKAMI et al.

Serial No. : 09/074,511

Filed : October 10, 2001

For : SMELTING REDUCTION METHOD

Art Unit : 1742

Examiner :

**RESPONSE TO NOTICE TO FILE  
CORRECTED APPLICATION PAPERS**

Assistant Commissioner for Patents  
Washington, D.C. 20231

S I R :

The NOTICE TO FILE CORRECTED APPLICATION PAPERS - FILING  
DATE GRANTED dated November 2, 2001 (copy enclosed), specified  
that the originally filed application papers contained some  
improper margins and required that a Substitute Specification be  
filed within two months from November 2, 2001, the mailing date  
of said NOTICE.

Enclosed herewith is a Substitute Specification, wherein no  
new matter has been introduced. Please enter it in the file of  
the above-identified application.

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RSB/rsr

Enclosures: (1) copy of NOTICE  
(2) Substitute Specification

**CERTIFICATE OF MAILING**

I hereby certify this  
correspondence is being  
deposited with the United  
States Postal Service with  
sufficient postage as First  
Class mail in an envelope  
addressed to: Assistant  
Commissioner for Patents,  
Washington, D.C. 20231, the  
date noted below.

*Richard S. Barth*

Attorney: Richard S. Barth

Dated: November 8, 2001

In the event that this Paper  
is late filed, and the  
necessary petition for  
extension of time is not filed  
concurrently herewith, please  
consider this as a Petition  
for the requisite extension of  
time, and to the extent not  
tendered by check attached  
hereto, authorization to  
charge the extension fee,  
or any other fee required  
in connection with this  
Paper, to Account No. 06-1378.

Respectfully submitted,

*Richard S. Barth*

RICHARD S. BARTH  
REG. NO. 28,180

**COPY OF PAPERS  
ORIGINALLY FILED**

09974511-011502



JAN 15 2002

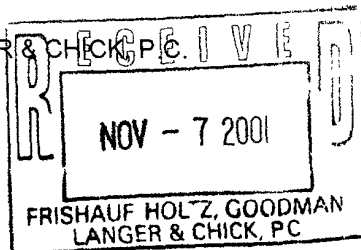
UNITED STATES PATENT AND TRADEMARK OFFICE

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WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/974,511	10/10/2001	Masahiro Kawakami	00447CD/HQ

CONFIRMATION NO. 8922

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FORMALITIES LETTER



\*OC000000007012709\*

Date Mailed: 11/02/2001

## NOTICE TO FILE CORRECTED APPLICATION PAPERS

*Filing Date Granted*

This application has been accorded an Application Number and Filing Date. The application, however, is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given **TWO MONTHS** from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a)

The required item(s) identified below must be timely submitted to avoid abandonment:

- A substitute specification in compliance with 37 CFR 1.52 because:
  - Papers contain improper margins. *Each sheet must have a left margin of at least 2.5 cm (1") and top, bottom and right margins of at least 2.0 cm (3/4")*

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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